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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Applicant: ROSENDORF, CHARLES  
Serial No: 09/848,191  
Filed: May 3, 2001

Atty. Docket No: 1597-1070  
Examiner: WASYLCHAK, Steven R.  
Group Art Unit: 3624

For: SECURITIES ANALYSIS METHOD AND SYSTEM

Mail Stop AMENDMENT  
Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Re: Office Paper mailed October 13, 2004

AMENDMENT UNDER 37 C.F.R. §1.111

Sir:

This amendment is in response to the Office Action mailed October 13, 2004, to which a response is due by January 13, 2005. Therefore, this response is timely filed.

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LAW OFFICES OF  
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**AMENDMENT**  
TO: Mail Stop ~~Patent Application~~, Technology Center 3600  
TELECOPIER NO.: 703/872-9306 DATE: January 13, 2005  
FROM: Mitchell P. Novick, Esq. FILE NO. 1597-1070  
RE: ROSENDORF - S/N 09/848,191 \*\*\* AMENDMENT and IDS \*\*\*  
NUMBER OF PAGES (including this sheet): 23

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MESSAGE:

Enclosed are:

- (1) Transmittal form;
- (2) Fee Transmittal Form (with payment by credit card);
- (3) Amendment dated 01/13/2005; and
- (4) Information Disclosure Statement

If you have any questions, please contact me. Thank you.

Mitchell P. Novick

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